

# UNIVERSAL EXPONENTIAL FORMULAS:

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What is an exponential formula?

power sum  $p_k(x_1, \dots, x_n) = x_1^k + x_2^k + \dots + x_n^k$

homogeneous symmetric polynomial  $h_k(x_1, \dots, x_n) = \prod_{i_1 + \dots + i_n = k} x_1^{i_1} x_2^{i_2} \dots x_n^{i_n}$

sum of  
homogeneous  
monomials of  
degree  $k$

$$h_3(x_1, x_2) = x_1^3 + x_1^2 x_2 + x_1 x_2^2 + x_2^3$$

$$h_1(x_1, \dots, x_n) = p_1(x_1, \dots, x_n)$$

$$h_k = \frac{1}{k} \sum_{i=1}^k h_{k-i} p_i$$

Exponential Formula:

$$\sum_{m \geq 0} h_m t^m = \exp \left( \sum_{k \geq 1} p_k \frac{t^k}{k} \right)$$

$\exp(t) = \sum_{m \geq 0} \frac{t^m}{m!}$

There is a robust analogy :  $h_m \longleftrightarrow$  symmetric powers  
 $p_k \longleftrightarrow$  Adams operations  $\psi^k$

Recall:  $G$  a finite group,  $RU(G)$  representation ring,  $k \geq 0$

The Adams operations  $\psi^k: RU(G) \longrightarrow RU(G)$  are characterized by

- $\psi^k$  is a ring homomorphism  $\Rightarrow \psi^k(1) = 1 \Rightarrow \psi^k(n) = n$
- if  $\dim(V) = 1$ ,  $\psi^k(V) = V^{\otimes k}$
- $\psi^k$  is natural in  $G$

Analogy  $\Rightarrow \sum_{m \geq 0} \text{Sym}^m t^m = \exp \left( \sum_{k \geq 1} \psi^k \frac{t^k}{k} \right) \leftarrow$  gives  $\psi^k$  in terms of  $\text{Sym}^m$

$V$  a trivial  $G$ -rep  
 $\dim V = n$

$$\sum_{m \geq 0} \binom{m+n-1}{m} t^m = \exp \left( \sum_{k \geq 1} n \frac{t^k}{k} \right)$$

$\nwarrow \dim \text{Sym}^m(V)$   
 $= h_m(1, 1, \dots, 1)$

$\nearrow n = \dim \psi^k(V)$   
 $n = p_k(1, 1, \dots, 1)$

Interpret  $RU(G)$  as  $KU_G(*)$ ; formulas upgrade to statements about cohomology operations on  $KU_G$ .

This is the height one integral version of the following:

Theorem (Ganter): In Morava  $E$ -theory at height  $n$ , prime  $p$

$$\sum_{m \geq 0} \beta_m t^m = \exp \left( \sum_{k \geq 0} T_{p^k} \frac{t^{p^k}}{p^k} \right)$$

"symmetric powers" ↑ ↑ Hecke operations

Goal: new, categorical proof of this via universal properties

Question: Where do these formulas live?

Work with the representation ring case.

Note that  $\text{Sym}^m$  factors through the (total) power operations

$$\begin{array}{ccccc} \text{Sym}^m: \text{RU}(G) & \xrightarrow{P_m} & \text{RU}(G \times \Sigma_m) & \xrightarrow[\text{Ind}_{G \times \Sigma_m}^G]{-\otimes \mathbb{C}[G \times \Sigma_m] \mathbb{C}[G]} & \text{RU}(G) \\ V & \xrightarrow{\quad} & V^{\otimes m} \hookrightarrow \Sigma_m & \xrightarrow{\quad} & \text{Sym}^m(V) \end{array}$$

Remark:  $P_m$  is only a multiplicative homomorphism

$$\begin{array}{ccc} \text{RU}(G) & \xrightarrow{\sum_{m \geq 0} P_m t^m} & \prod_{m \geq 0} \text{RU}(G \times \Sigma_m) t^m \xrightarrow{\sum \text{Ind}_{G \times \Sigma_m}^G t^m} \text{RU}(G)[[t]] \\ \text{"Summa power operation"} & \text{[bracket]} & \text{[bracket]} \\ \text{sends addition to multiplication} & & \text{commutative ring via} \end{array}$$

ring homomorphism

map down to get  $\sum_{m \geq 0} \text{Sym}^m t^m$

Remark: the name "total power operation" is already taken.  
Summa is Latin for total,  
and also this is a "sum of  
power operations"  
(credit to Adrielle Stapleton for the name)

$$V t^i \cdot W t^j = \text{Ind}_{G \times \Sigma_i \times \Sigma_j}^{G \times \Sigma_{i+j}} (V \boxtimes W) t^{i+j}$$

"transfer multiplication"

$G$  acts diagonally  
 $\Sigma_i$  acts on left  
 $\Sigma_j$  acts on right

Adams ops factor through power operations in a different way

$$\psi^k: RU(G) \xrightarrow{P_R} RU(G \times \Sigma_m) \longrightarrow RU(G \times \Sigma_m) / I_{tr} \cong RU(G)$$

$P_m$  is multiplicative homomorphism,

$\psi^k = P_R / I_{tr}$  is ring homomorphism

← "additive power operation"

smallest ideal such that the composite is a ring homomorphism, generated by images of

$$\text{Ind}_{\Sigma_i \times \Sigma_j}^{\Sigma_m} \quad \begin{matrix} i+j=m \\ i,j > 0 \end{matrix}$$

Note  $\exp(t) = \sum_{m \geq 0} \frac{t^m}{m!} \notin \mathbb{Z}[[t]]$ . It lives in  $\mathbb{Z} \langle\langle t \rangle\rangle$  ← ring of divided power series

$$\sum_{m \geq 0} a_m \frac{t^m}{m!}$$

So  $\exp\left(\sum_{k \geq 1} \psi^k \frac{t^k}{k}\right)$  a priori lives in  $RU(G) \langle\langle t \rangle\rangle$ .

What about an exponential formula for  $\sum_{m \geq 0} P_m t^m$ ? Where should this live? Integer-valued class functions on  $\Sigma_m$

$$\prod_{m \geq 0} RU(G \times \Sigma_m) t^m \xrightarrow{\cong} \prod_{m \geq 0} RU(G) \otimes RU(\Sigma_m) t^m \xrightarrow{1 \otimes \chi} \prod_{m \geq 0} RU(G) \otimes Cl(\Sigma_m, \mathbb{Z}) t^m$$

Product on  $\prod_{m \geq 0} \text{RU}(G) \otimes \text{Cl}(\Sigma_m, \mathbb{Z}) t^m$  ← assume  $G$  is trivial for convenience

Recall  $\{\text{conjugacy classes in } \Sigma_m\} \cong \{\text{partitions } \lambda \vdash m\}$

$\lambda \vdash m$   $\lambda = (\lambda_1, \lambda_2, \lambda_3, \dots)$  with  $\sum \lambda_i = m$

$$\lambda = 1+1+1+3+3 \vdash 9$$

$$\lambda_1 = 3, \lambda_3 = 2$$

$\text{Cl}(\Sigma_m, \mathbb{Z})$  has a basis of characteristic functions  $\{\mathbb{1}_\lambda\}_{\lambda \vdash m}$   $\lambda! = 3! 2!$

transfer product on  $\prod_{m \geq 0} \text{Cl}(\Sigma_m, \mathbb{Z}) t^m$  given by  $\mathbb{1}_\lambda t^m \cdot \mathbb{1}_\tau t^n = \frac{(\lambda + \tau)!}{\lambda! \tau!} \mathbb{1}_{\lambda + \tau} t^{m+n}$  vector addition

$$\tau = 1+2 \vdash 3 \quad (\lambda + \tau)_1 = 4$$

$$\tau_1 = 1, \tau_2 = 1$$

$$(\lambda + \tau)! = 4! 1! 2!$$

$$\lambda! = \prod_i \lambda_i!$$

Claim:  $\prod_{m \geq 0} \text{Cl}(\Sigma_m, \mathbb{Z}) t^m$  is a divided power algebra

graded algebra such that  
if  $|x| > 0$ ,  $k! \mid x^k$

vector addition

$$\mathbb{1}_\lambda t^m \cdot \mathbb{1}_\tau t^n = \frac{(\lambda + \tau)!}{\lambda! \tau!} \mathbb{1}_{\lambda + \tau} t^{m+n}$$

$\lambda! = \prod_i \lambda_i!$

scalar multiplication

$$\mathbb{1}_\lambda^k t^m = \frac{(k \cdot \lambda)!}{(\lambda!)^k} \mathbb{1}_{k \cdot \lambda} t^{km}$$

order of  $\sum_{k, \lambda}$

$m > 0$

order of  $\sum_\lambda^{xk}$

$$\sum_\lambda = \prod_i \sum_{\lambda_i}$$

$$\sum_{k, \lambda}$$

$$\sum_\lambda \supseteq \sum_k$$

$$\cup \leftarrow \text{index } k!$$

$$\sum_\lambda^{xk}$$

Proposition: As functions  $RU(G) \longrightarrow \prod_{m \geq 0} RU(G) \otimes Cl(\Sigma_m, \mathbb{Z}) t^m$ ,

these functions  
send addition  
to multiplication

$$\sum_{m \geq 0} p_m t^m = \exp \left( \sum_{k \geq 1} \psi^k \otimes \mathbb{1}_{k-1-k} t^m \right)$$

↑  
partition  $\lambda \vdash k$ ,  $\lambda_k = 1$

Can recover previous exponential formula:

$$RU(G) \longrightarrow \prod_{m \geq 0} RU(G \times \Sigma_m) t^m \longrightarrow RU(G)[[t]]$$

$$\begin{array}{ccc} \downarrow & & \downarrow \\ \prod_{m \geq 0} RU(G) \otimes Cl(\Sigma_m, \mathbb{Z}) t^m & \dashrightarrow & RU(G)\langle\langle t \rangle\rangle \end{array}$$

↑  
exponential formula here

----- yields exponential formula here



Question: How general is this? When do we have exponential formulas?

Replace  $RU$  by a global Green functor  $R$ :

$$R = (R^*, R_*) \quad R^*: \text{Group}^{\text{op}} \longrightarrow \text{CRing}, \quad R_*: \text{Group}^{\hookrightarrow} \longrightarrow \text{Ab}$$

$$R^*(G) = R_*(G)$$

$$\text{tr}_f = R_*(H \xrightarrow{f} K): R(H) \longrightarrow R(K)$$

+ conditions

Examples:  $RU$ ,  $Cl(-, \mathbb{Z})$ ,  $Cl(-, \mathbb{C})$ ,  $A$ ,  $H^*(-; k)$ ,  $E^*(B(-))$ ,  $\dots$

Burnside ring

field

homotopy commutative ring spectrum

If  $R$  is a global Green functor, build a commutative ring  $R[[\Sigma]] = \prod_{m \geq 0} R(\Sigma_m) t^m$

product  $at^i \cdot bt^j = \text{tr}_{\sum_i \times \sum_j}^{\sum_{i+j}} (a \boxtimes b) t^{i+j}$

$$\begin{array}{ccc} R(\Sigma_i) \otimes R(\Sigma_j) & \xrightarrow{p_L^* \otimes p_R^*} & R(\Sigma_i \times \Sigma_j) \\ a \otimes b & \longmapsto & a \boxtimes b \end{array}$$

What plays the role of class functions?

$$R[[\Sigma]] = \prod_{m \geq 0} \bigoplus_{\lambda \vdash m} \left( R(\Sigma^\lambda) / I_{\text{tr}} \right)^{\sum \lambda} t^m$$

When  $R = RU$ ,  $\left( RU(\Sigma^\lambda) / I_{\text{tr}} \right)^{\sum \lambda} \cong \mathbb{Z}$

$$\bigoplus_{\lambda \vdash m} \mathbb{Z} \cong C(\Sigma_m, \mathbb{Z})$$

$$\prod_i \sum_i^{\times \lambda_i}$$

$\psi$   $1_\lambda$  unit of this ring

Theorem:  $R\langle\langle \Sigma \rangle\rangle$  admits a canonical ring structure with a ring homomorphism  $R[[\Sigma]] \longrightarrow R\langle\langle \Sigma \rangle\rangle$ . Furthermore,  $R\langle\langle \Sigma \rangle\rangle$  is a divided power algebra.

If  $R$  is a global power functor, can build  $\overset{\text{additive power operation (ring hom)}}{P_m/I_{tr}} : R(G) \longrightarrow R(G \times \Sigma_m) \longrightarrow R(G \times \Sigma_m)/I_{tr}$   
global Green functor + power operations  $P_m : R(G) \longrightarrow R(G \times \Sigma_m)$

Corollary: If  $R$  is a global power functor such that  $R(G \times \Sigma_m) \cong R(G) \otimes R(\Sigma_m)$  for all  $G, m$  then

$$\sum_{m \geq 0} P_m t^m = \exp \left( \sum_{k \geq 1} P_k/I_{tr} \otimes \mathbb{1}_{k-k} t^k \right)$$

partition  $\lambda \vdash k$   
 $\lambda_k = 1$

as functions  $R(G) \longrightarrow R(G) \underset{R(e)}{\hat{\otimes}} R\langle\langle \Sigma \rangle\rangle$  be careful with completions

Universal property: For an ordinary commutative ring  $S$  with a homomorphism\*

$$R(G) \hat{\otimes}_{R(e)} R[[\Sigma]] \longrightarrow S[[t]],$$

$$R(G) \longrightarrow R(G) \hat{\otimes}_{R(e)} R[[\Sigma]] \longrightarrow S[[t]]$$

$$\downarrow$$

$$R(G) \hat{\otimes}_{R(e)} R\langle\langle \Sigma \rangle\rangle$$

$\exists!$

$$\downarrow$$

$$S\langle\langle t \rangle\rangle$$

homomorphism of  
divided power algebras

exponential formula here  $\uparrow$  ----- yields exponential formula here  $\leftarrow$

Corollary: Recover Ganter's formula for  $R = E^*(B|-)$ ,  $S = E^*$

\* of bialgebras!